

Directed Evolution of AAV Targeting Lung Epithelia Using Aerosol Delivery Identifies 4D-A101, a Variant Demonstrating Robust Gene Delivery in Non-Human Primates



Abstract 1336

Melissa Kotterman, Ph.D.¹, Melissa Calton, Ph.D.¹, Julie Nye¹, Ghezal Beliakoff¹, Melissa Quezada¹, Roxanne Croze, Ph.D.¹, Kevin Whittlesey, Ph.D.¹, Katherine Barglow, Ph.D.¹, Peter Francis, M.D., Ph.D.¹, David Schaffer, Ph.D.^{1,2}, David Kirn, M.D.¹

¹ 4D Molecular Therapeutics, Emeryville, CA

² University of California, Berkeley, CA



Disclosures

- Full-time employee at 4D Molecular Therapeutics, Inc.
- Co-founder and owner of shares in 4D Molecular Therapeutics, Inc.
- Inventor on patents and/or pending patent applications related to AAV capsid variants and AAV gene delivery.

Approved & Late-Stage AAV Gene Therapies are NOT Targeted; 4DMT Is Developing Precision-Guided Products

FOUR KEY CHALLENGES FOR CONVENTIONAL AAV VECTORS

Delivery: sub-optimal routes, high doses

Transduction: poor efficacy, limited tissues

Inflammation: toxicity challenges

Antibodies: limit market, efficacy



CURRENT PRODUCTS FOCUS ON “LOW-HANGING FRUIT”

Luxturna (IRD): subretinal surgery

Hemophilia: $\geq 5\%$ correction required

Zolgensma (SMA): patients < 2 years old

“NEXT-GEN” VECTORS

- Unmodified AAV discovered in nature
- Modified natural vectors: rationally designed or engineered (not evolved)
- Selection with small libraries with low diversity
- Selection in mice (not primates)

4DMT PRECISION-GUIDED VECTORS

Delivery: Optimal Route & Lower Doses

Transduction: Highly Efficient

Inflammation: Reduced

Antibody Resistance



PROPRIETARY TARGETED VECTORS FOR RARE & LARGE MARKET DISEASES

- **PRECISION-GUIDED VECTORS**
- **Original AAV directed evolution company**
- **~1 BILLION sequences**
- **37 Capsid Libraries**
- **Selection & validation in PRIMATES**
- **Characterization in human organotypic disease models**

Discovery of Next-Generation AAV Vectors

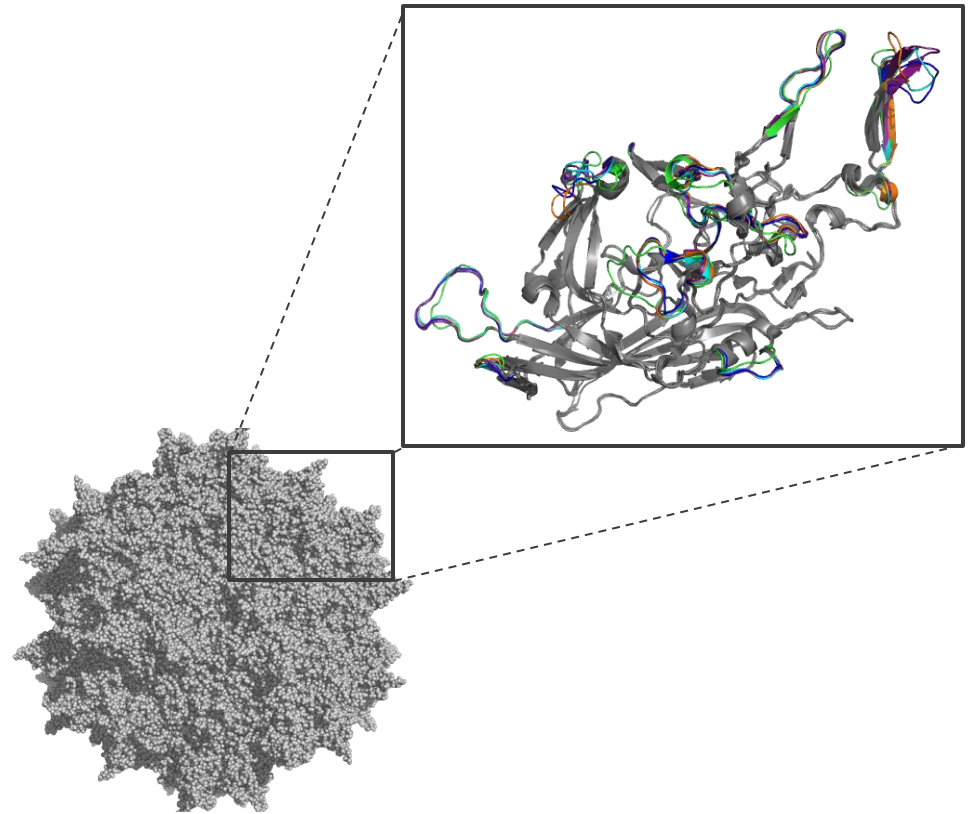
RATIONALE FOR DIRECTED EVOLUTION

- Differences in capsid protein sequences between serotypes
 - Structural differences in surface loop regions

Discovery of Next-Generation AAV Vectors

RATIONALE FOR DIRECTED EVOLUTION

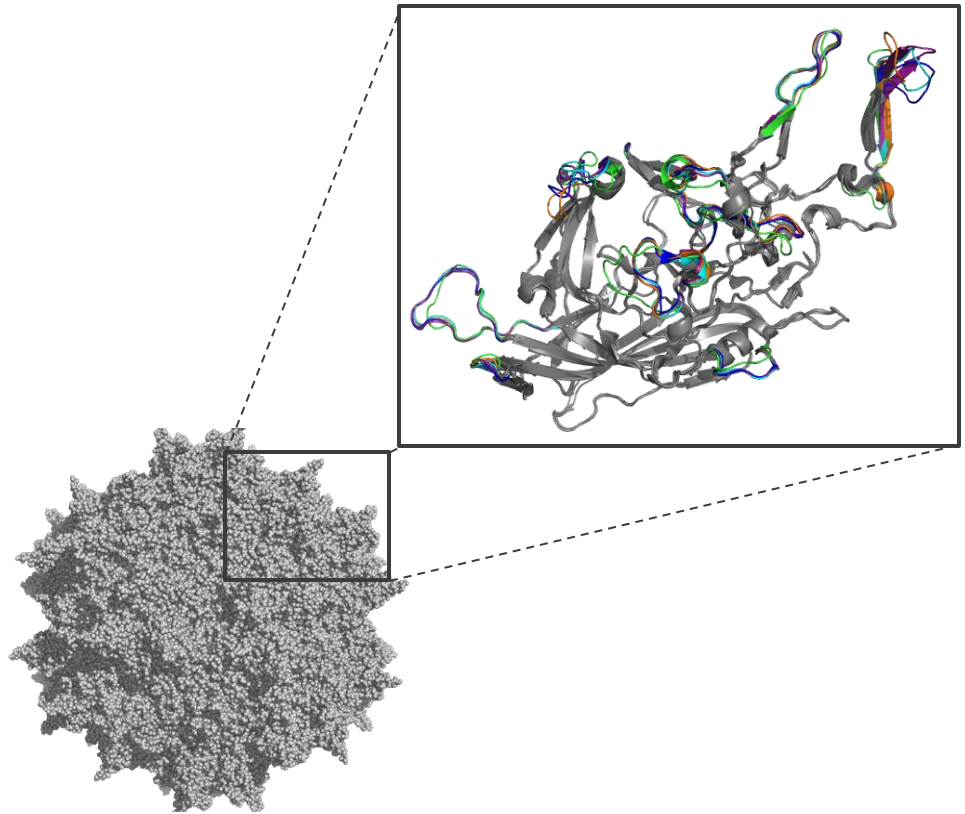
- Differences in capsid protein sequences between serotypes
 - Structural differences in surface loop regions



Discovery of Next-Generation AAV Vectors

RATIONALE FOR DIRECTED EVOLUTION

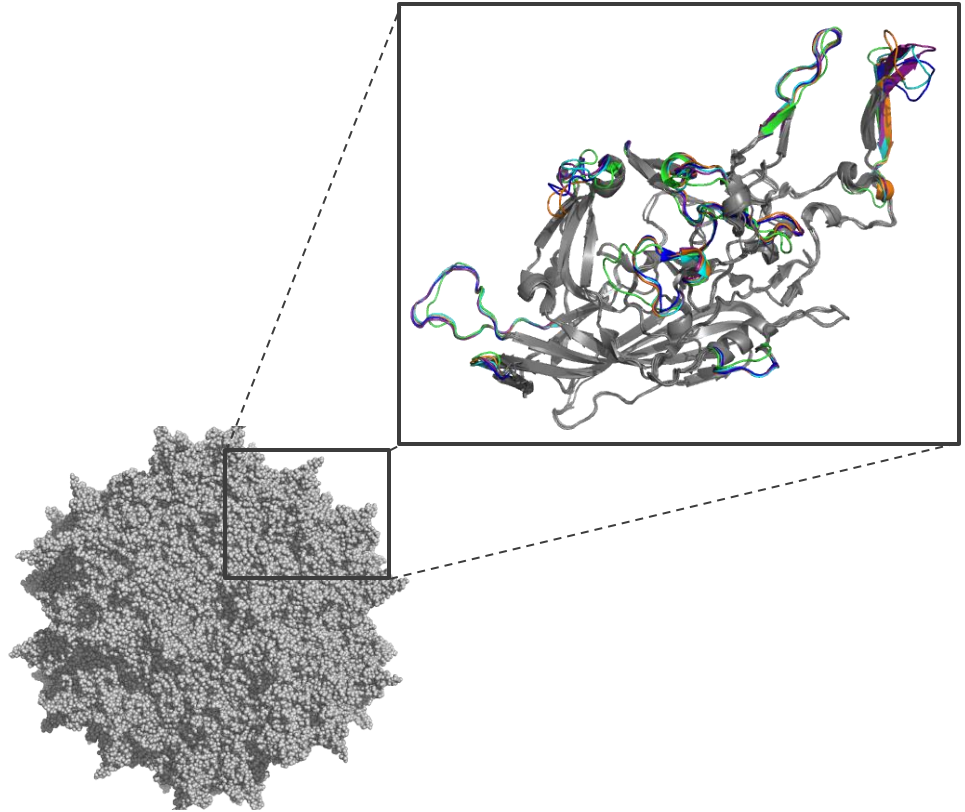
- Differences in capsid protein sequences between serotypes
 - Structural differences in surface loop regions
- Protein sequence and structure changes lead to differences in
 - Cell surface receptors utilized
 - Transduction efficiency for various cell types
 - Relative biodistribution
 - Affinity for antibodies



Discovery of Next-Generation AAV Vectors

RATIONALE FOR DIRECTED EVOLUTION

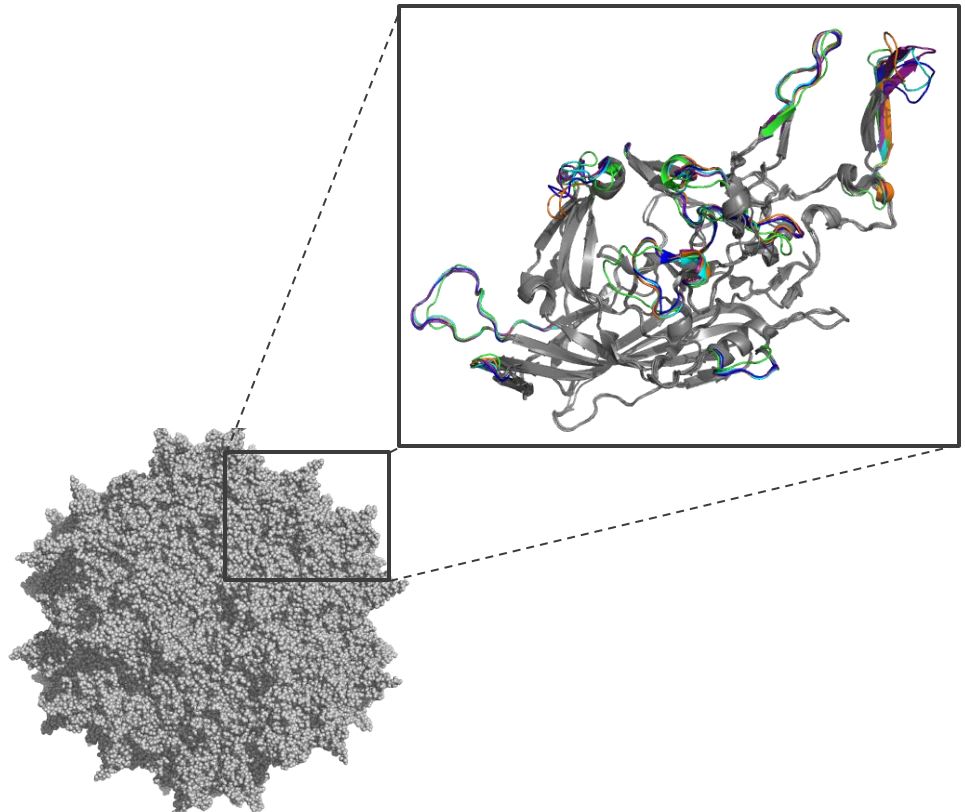
- Differences in capsid protein sequences between serotypes
 - Structural differences in surface loop regions
- Protein sequence and structure changes lead to differences in
 - Cell surface receptors utilized
 - Transduction efficiency for various cell types
 - Relative biodistribution
 - Affinity for antibodies
- Additional capsid protein changes can lead to further improvements
 - *Cap* gene can be mutated to produce capsid protein changes



Discovery of Next-Generation AAV Vectors

RATIONALE FOR DIRECTED EVOLUTION

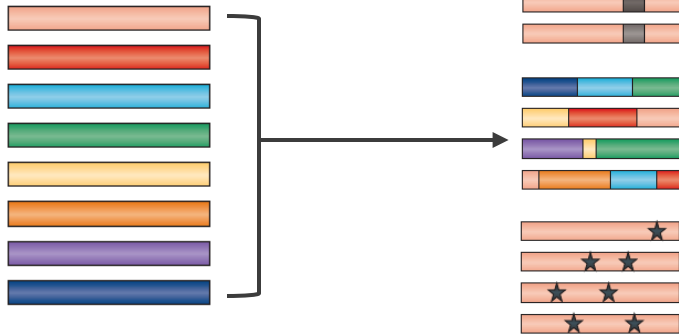
- Differences in capsid protein sequences between serotypes
 - Structural differences in surface loop regions
- Protein sequence and structure changes lead to differences in
 - Cell surface receptors utilized
 - Transduction efficiency for various cell types
 - Relative biodistribution
 - Affinity for antibodies
- Additional capsid protein changes can lead to further improvements
 - *Cap* gene can be mutated to produce capsid protein changes
- Problems:
 - Knowledge of structure/sequence to function relationship is incomplete
 - Knowledge of gene delivery “bottleneck” in each situation is incomplete



4DMT AAV Capsid Discovery Platform

THERAPEUTIC VECTOR EVOLUTION

A viral library is created by mutating the *cap* gene.

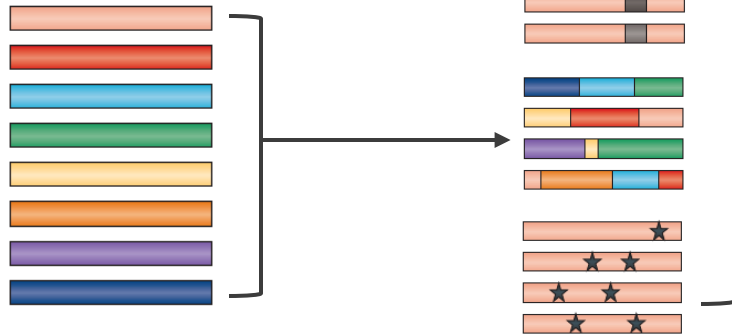


Diversity: **>1 BILLION** Vector Variants in
4DMT Libraries (n>35)

4DMT AAV Capsid Discovery Platform

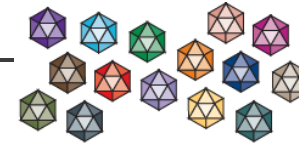
THERAPEUTIC VECTOR EVOLUTION

A viral library is created by mutating the *cap* gene.



Diversity: **>1 BILLION** Vector Variants in
4DMT Libraries (n>35)

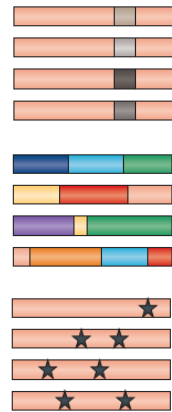
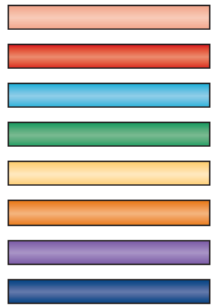
Each virus is composed of a mutant capsid surrounding the *cap* gene encoding that capsid.



4DMT AAV Capsid Discovery Platform

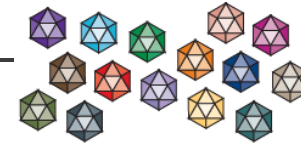
THERAPEUTIC VECTOR EVOLUTION

A viral library is created by mutating the *cap* gene.

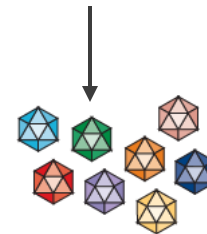


Diversity: **>1 BILLION** Vector Variants in 4DMT Libraries (n>35)

Each virus is composed of a mutant capsid surrounding the *cap* gene encoding that capsid.



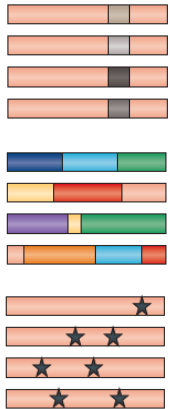
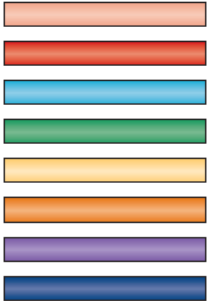
The capsid library is placed under selective pressure.



4DMT AAV Capsid Discovery Platform

THERAPEUTIC VECTOR EVOLUTION

A viral library is created by mutating the *cap* gene.



Diversity: **>1 BILLION** Vector Variants in 4DMT Libraries (n>35)

Each virus is composed of a mutant capsid surrounding the *cap* gene encoding that capsid.

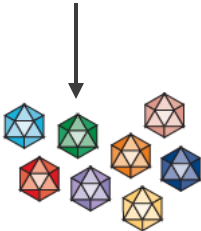
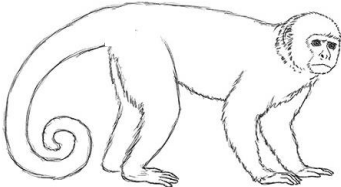


TARGET VECTOR PROFILE

Primates *in vivo*

Human antibodies & organotypic models *ex vivo*

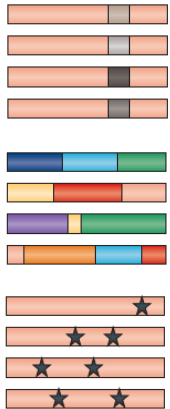
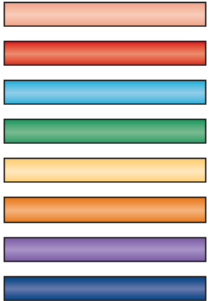
The capsid library is placed under selective pressure.



4DMT AAV Capsid Discovery Platform

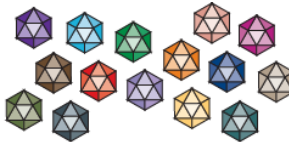
THERAPEUTIC VECTOR EVOLUTION

A viral library is created by mutating the *cap* gene.



Diversity: >1 **BILLION** Vector Variants in 4DMT Libraries (n>35)

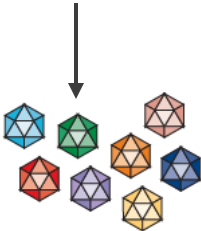
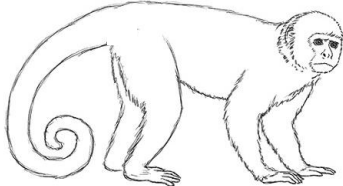
Each virus is composed of a mutant capsid surrounding the *cap* gene encoding that capsid.



TARGET VECTOR PROFILE
Primates *in vivo*
Human antibodies & organotypic models *ex vivo*

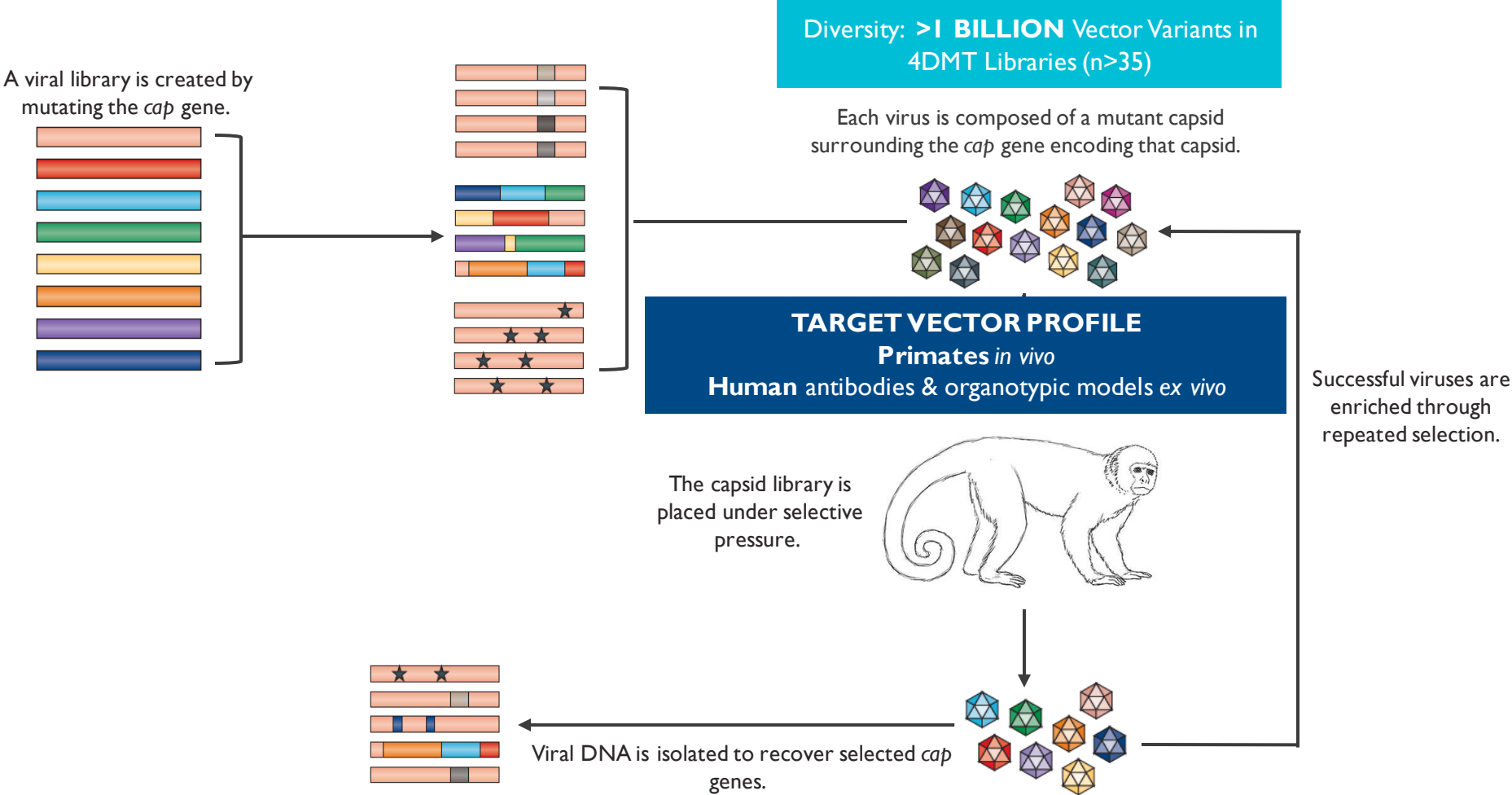
Successful viruses are enriched through repeated selection.

The capsid library is placed under selective pressure.



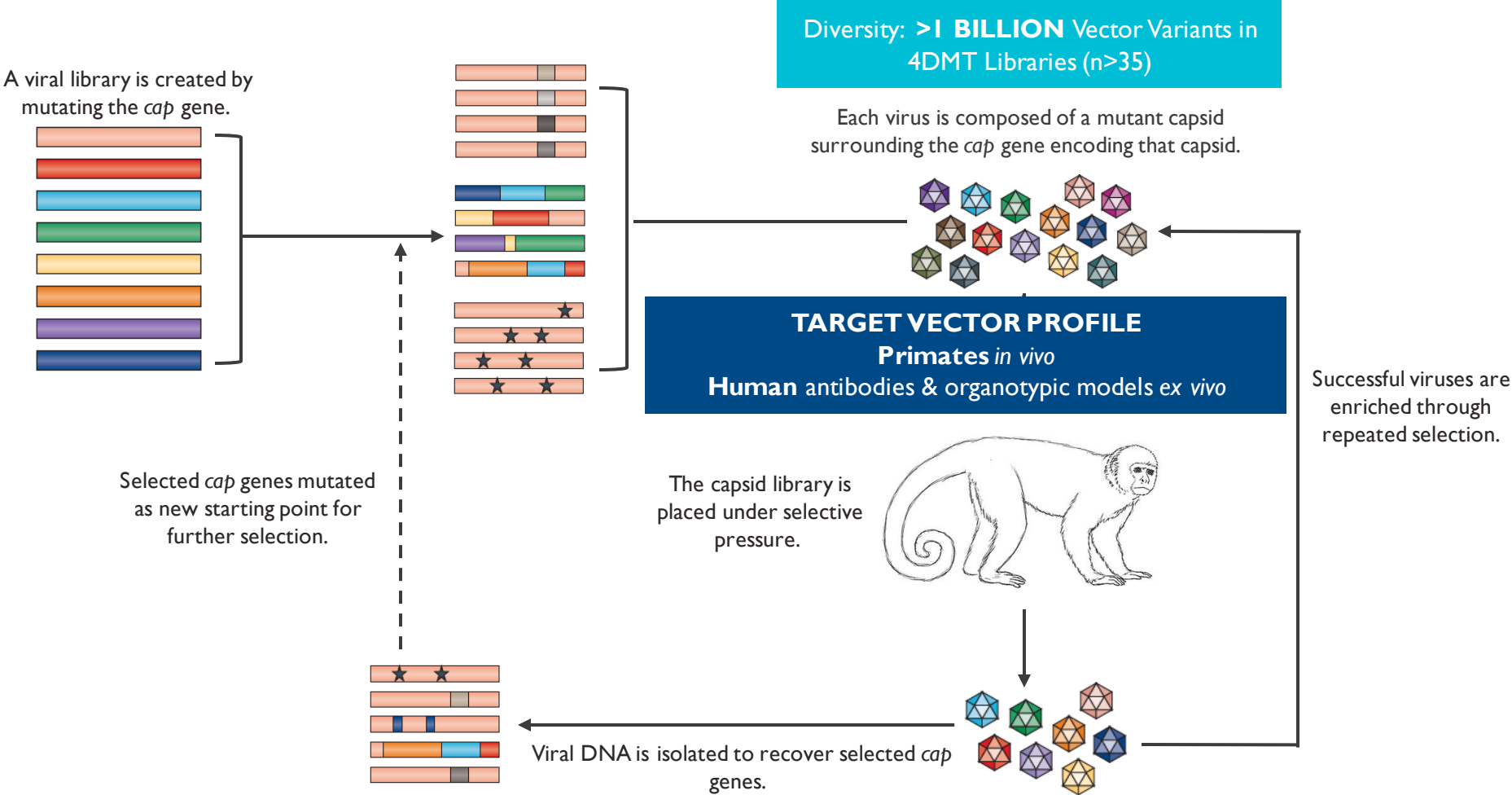
4DMT AAV Capsid Discovery Platform

THERAPEUTIC VECTOR EVOLUTION



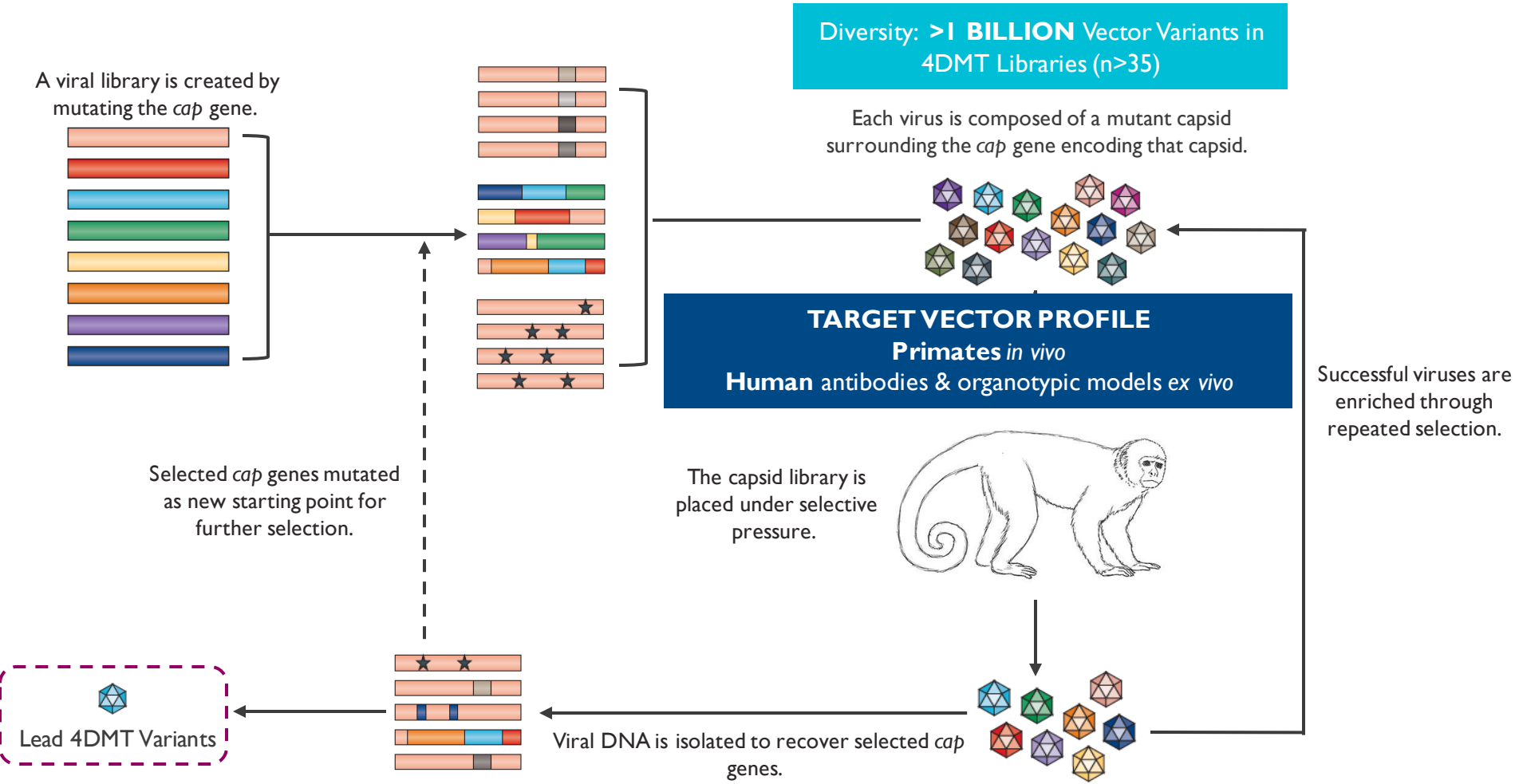
4DMT AAV Capsid Discovery Platform

THERAPEUTIC VECTOR EVOLUTION



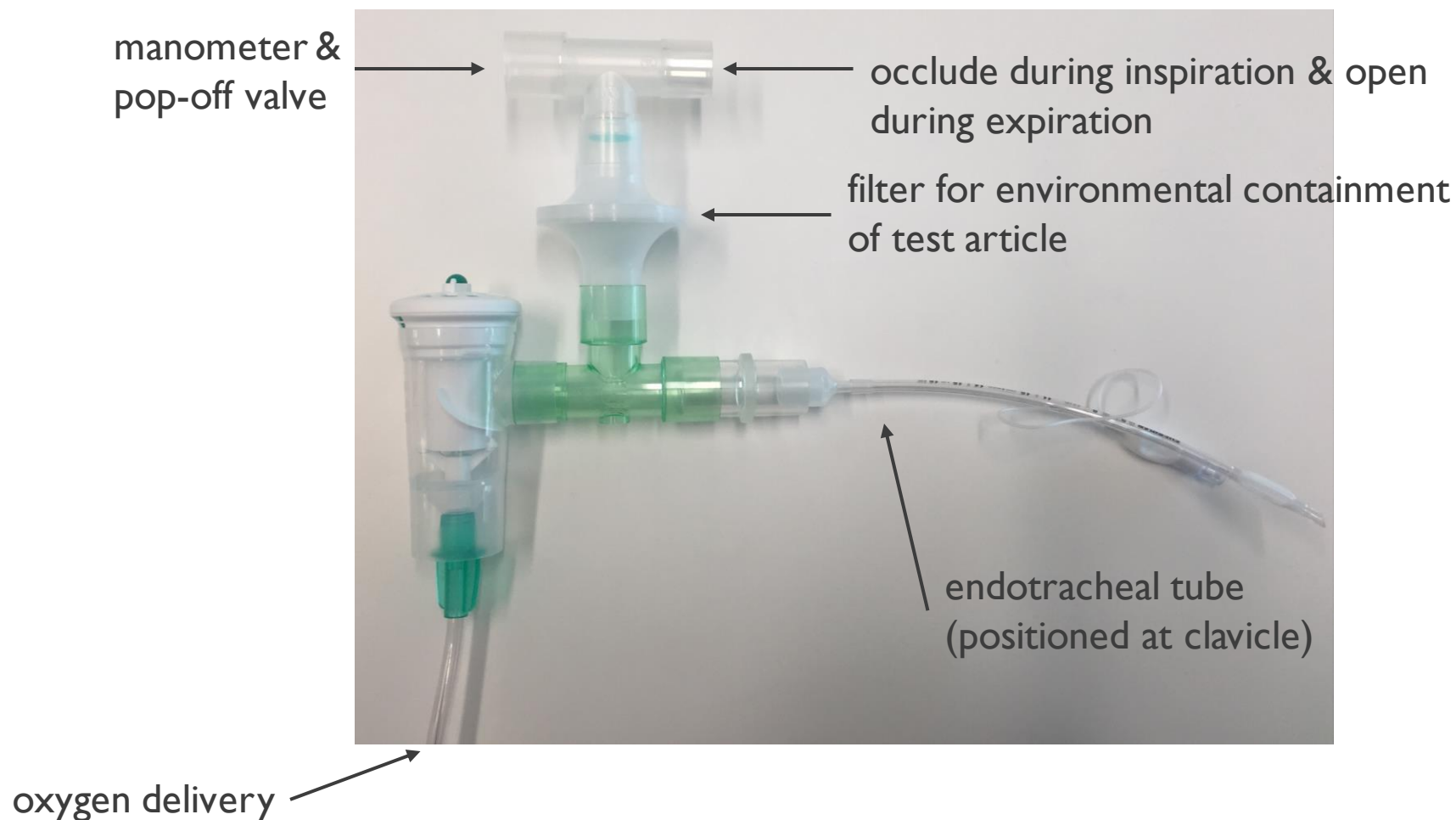
4DMT AAV Capsid Discovery Platform

THERAPEUTIC VECTOR EVOLUTION



Aeroclipse II NHP-Adapted Delivery Device

CLINICAL DELIVERY DEVICE ADAPTED FOR USE IN ANETHESIZED NHP



Aeroeclipse II Aerosol Dye Distribution Study

ROBUST DYE DELIVERY THROUGHOUT ALL LUNG LOBES

- Dye distribution after exposure to 5 mL 2% Evans Blue dye in 4DMT formulation buffer
- Dye distribution similar between n = 2 NHPs and throughout all lung lobes
- No dye detected in the esophageal or stomach tissue for either NHP
- Duration of exposure and number of breaths similar between animals
 - NHP #1 (Male) – Exposure: 36 mins, 405 breaths
 - NHP #2 (Female) – Exposure: 32 mins, 406 breaths

Discovery of Lead 4DMT Lung Vector: 4D-A101

8 NOVEL VARIANTS IDENTIFIED, INCLUDING 1 LEAD

~1 billion variants (in library)

Discovery of Lead 4DMT Lung Vector: 4D-A101

8 NOVEL VARIANTS IDENTIFIED, INCLUDING 1 LEAD



~1 billion variants (in library)

8 Total Variants Discovered

Discovery of Lead 4DMT Lung Vector: 4D-A101

8 NOVEL VARIANTS IDENTIFIED, INCLUDING 1 LEAD

~1 billion variants (in library)

8 Total Variants Discovered

1 Total Motif

1 Chimera

Discovery of Lead 4DMT Lung Vector: 4D-A101

8 NOVEL VARIANTS IDENTIFIED, INCLUDING 1 LEAD

~1 billion variants (in library)

8 Total Variants Discovered

1 Total Motif

1 Chimera

1 Lead Vector

Discovery of Lead 4DMT Lung Vector: 4D-A101

8 NOVEL VARIANTS IDENTIFIED, INCLUDING 1 LEAD

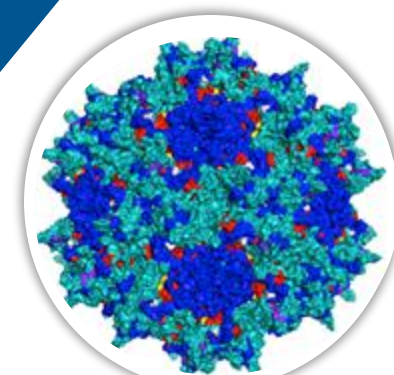
~1 billion variants (in library)

8 Total Variants Discovered

1 Total Motif
1 Chimera

1 Lead Vector

4D-A101



4D-A101

Discovery of Lead 4DMT Lung Vector: 4D-A101

8 NOVEL VARIANTS IDENTIFIED, INCLUDING 1 LEAD

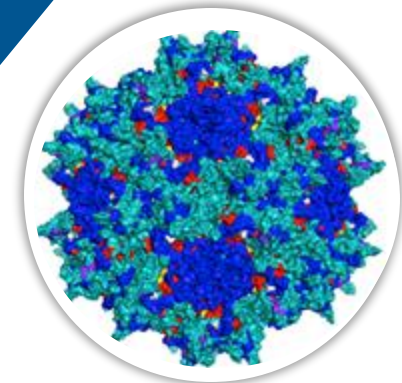
~1 billion variants (in library)

8 Total Variants Discovered

1 Total Motif
1 Chimera

1 Lead Vector

4D-A101



4D-A101

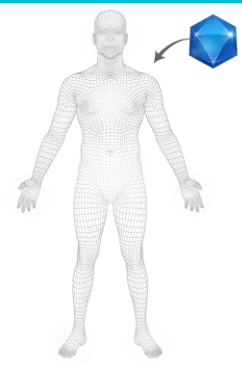
**ENHANCED
TRANSDUCTION**



**ENHANCED
AB RESISTANCE**



**ENHANCED
DELIVERY**



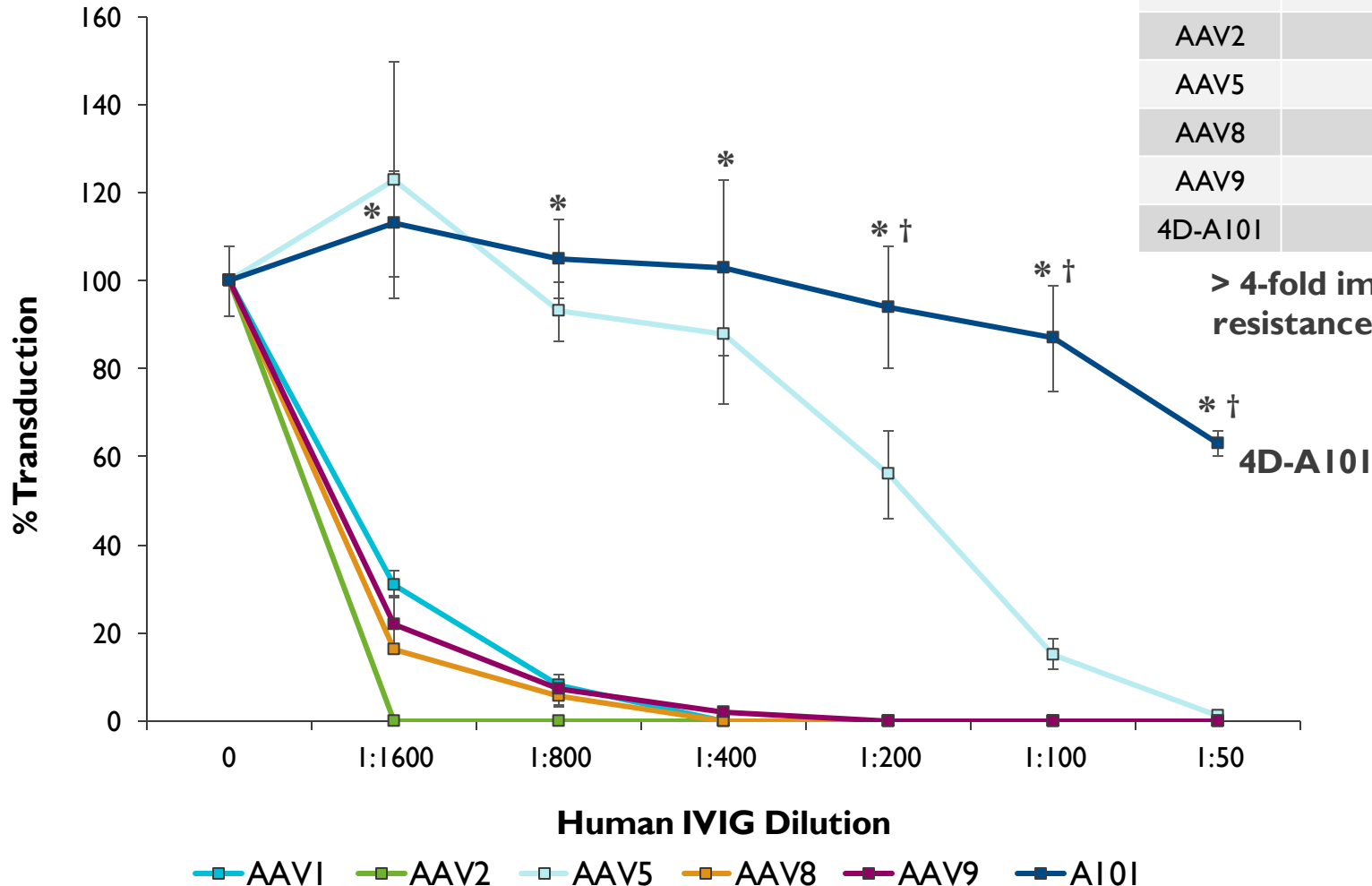
4D-A101 Resists Pre-Existing Human Anti-AAV Antibodies

RESISTANCE AT HIGH (1:50) TITERS COMPARED TO WILD-TYPE SEROTYPES

* $p < 0.05$ for 4D-A101 vs AAV1, AAV2, AAV8, and AAV9

† $p < 0.05$ for 4D-A101 vs AAV5

AAV	Neutralizing IVIG Dilution
AAV1	> 1:1600
AAV2	> 1:1600
AAV5	1:200
AAV8	> 1:1600
AAV9	> 1:1600
4D-A101	< 1:50

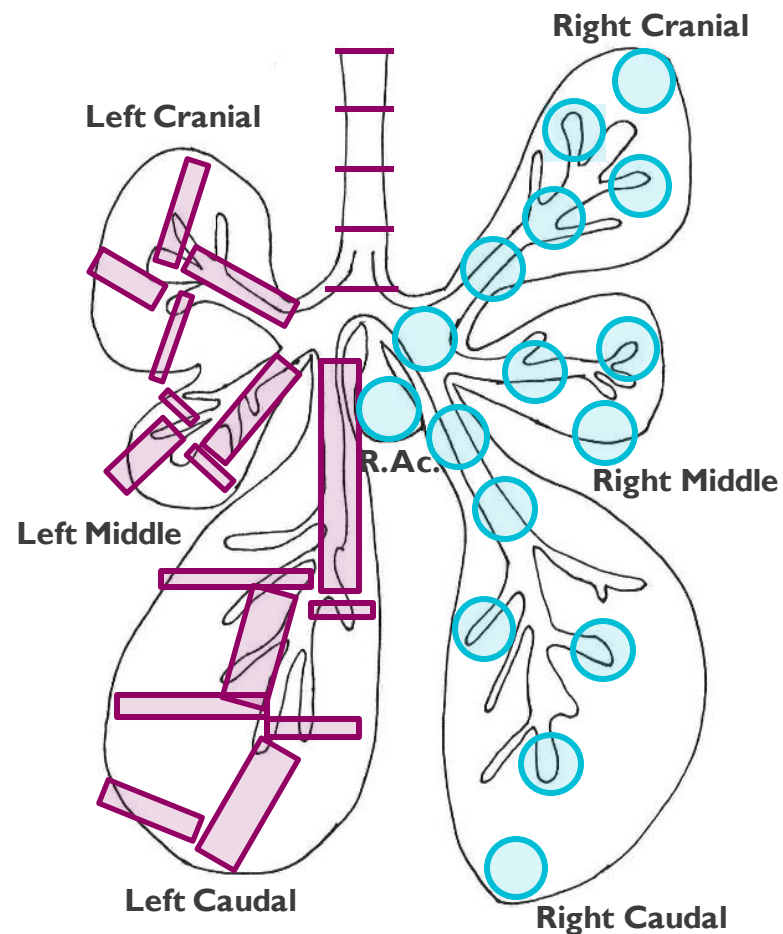


4D-A101.CAG-EGFP In Vivo Characterization

AEROSOLIZED DELIVERY TO NHP LUNG

DOSE	# OF NHP	ROUTE	IN-LIFE
2.8×10^{12} vg/kg (~ 1×10^{13} vg)	3	Aerosolized (AeroEclipse II)	8 weeks

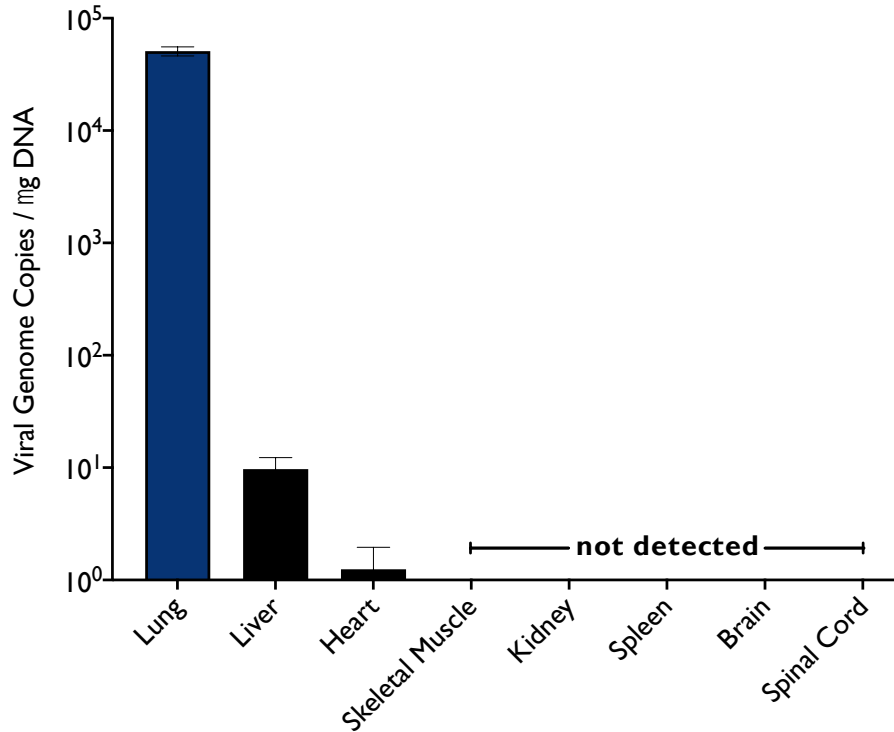
TISSUE COLLECTION	ANALYSIS
<ul style="list-style-type: none"> ▪ Lung ▪ Heart ▪ Liver ▪ Skeletal Muscle (triceps, quadriceps, diaphragm) ▪ Kidney ▪ Spleen ▪ CNS (brain, spinal cord) 	<ul style="list-style-type: none"> ▪ qPCR ▪ ELISA (lung & qPCR+ tissues) ▪ Immunofluorescence & H&E (lung & ELISA+ tissues)



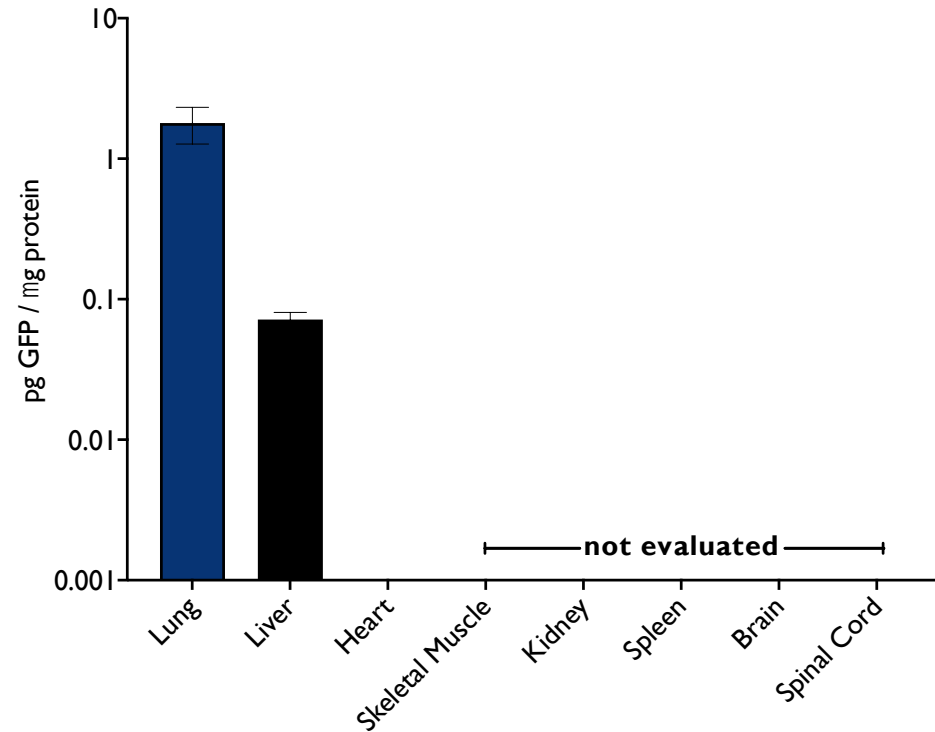
4D-A101 Delivers Payload & Expresses Protein in Lungs

GENOME LOCALIZATION & PROTEIN EXPRESSION IN 100% OF LUNG SAMPLES

GENOME LOCALIZATION (QPCR)



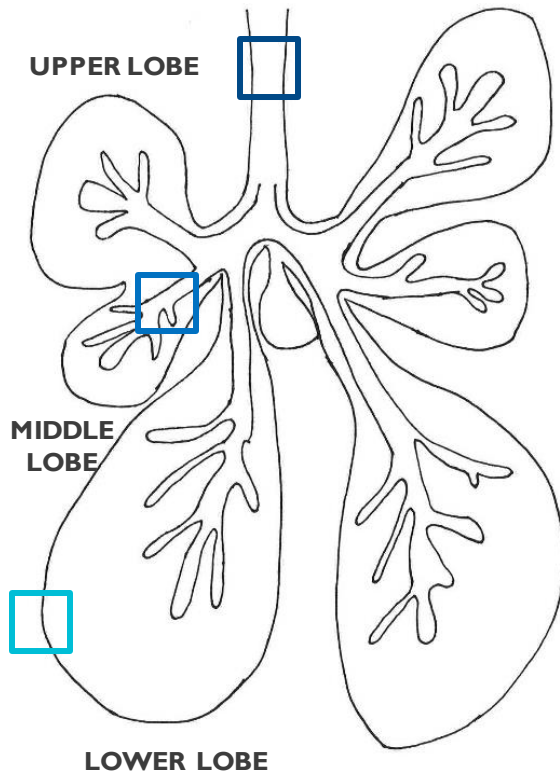
PROTEIN EXPRESSION IN QPCR+ SAMPLES (ELISA)



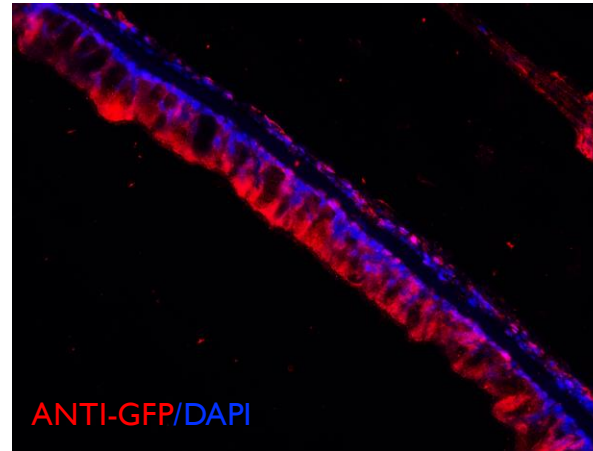
- Consistent delivery between animals
- 4D-A101 viral genomes & protein expression present in all lung samples
 - Evenly distributed across multiple bronchial levels and alveoli
 - Evenly distributed across cranial, middle, and caudal sections

4D-A101 Protein Expression Distributed Across Lung Regions

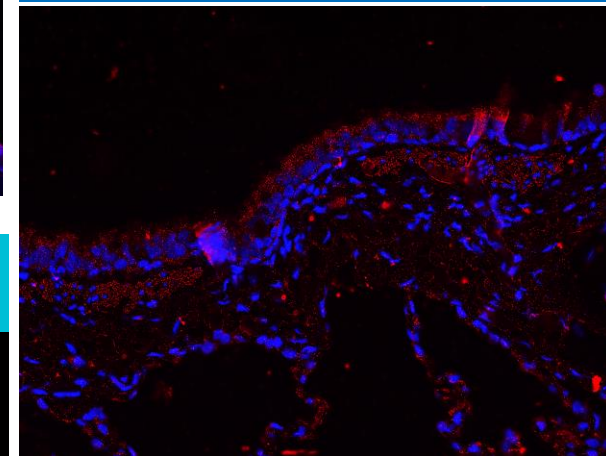
REPRESENTATIVE GFP EXPRESSION IN TRACHEA, BRONCHI, & ALVEOLI



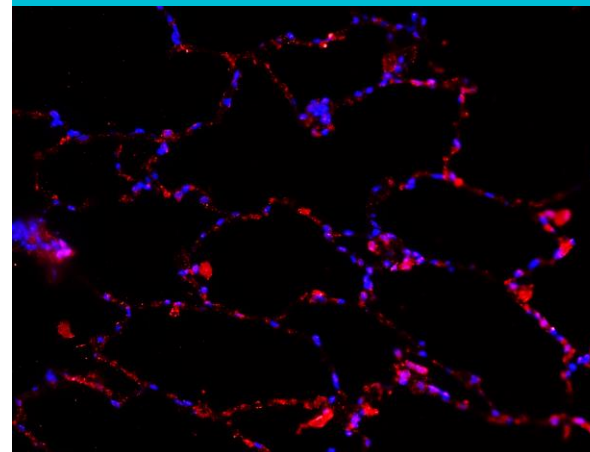
TRACHEA



BRONCHI



ALVEOLI



4D-A101 Administration is Safe in NHP

NO 4D-A101-RELATED ADVERSE HISTOPATHOLOGY OR CLINICAL PATHOLOGY

4D-A101.CAG-EGFP
V002969

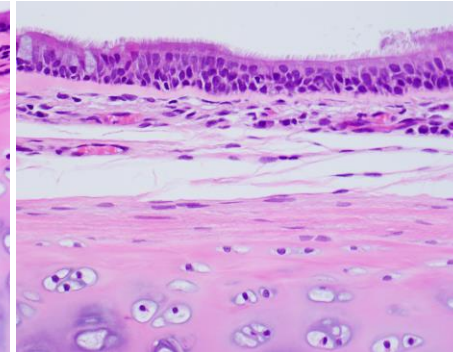
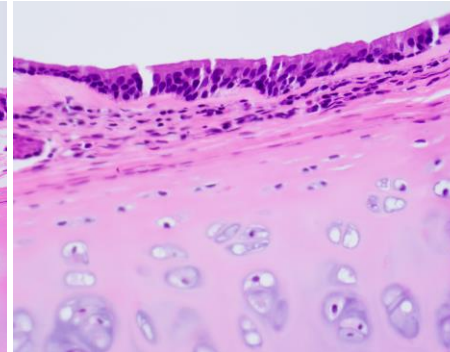
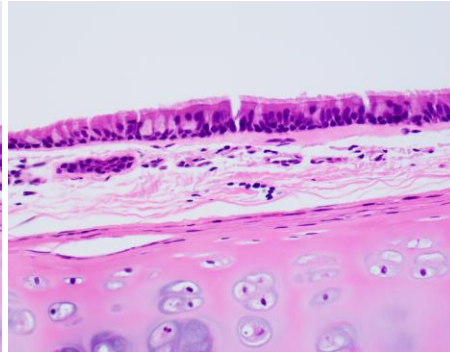
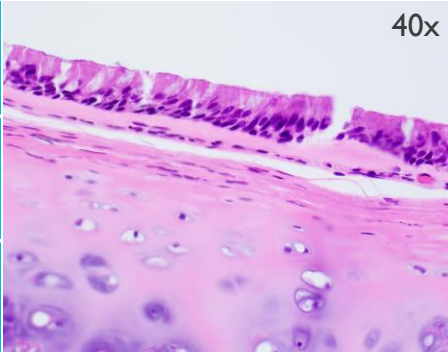
4D-A101.CAG-EGFP
V003062

4D-A101.CAG-EGFP
V003424

NON-TREATED CONTROL
V002230

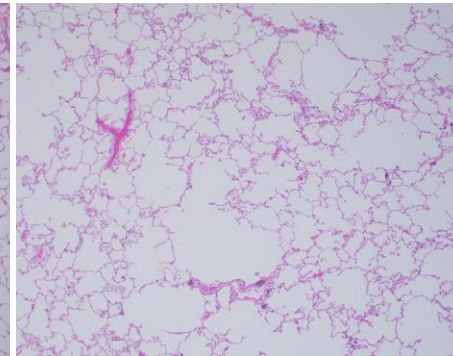
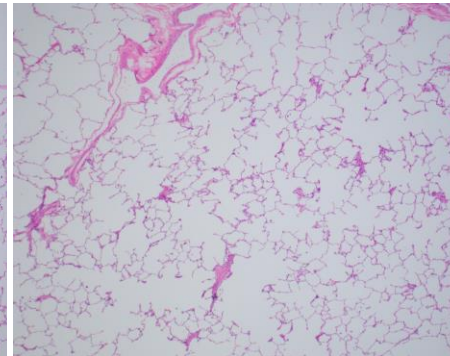
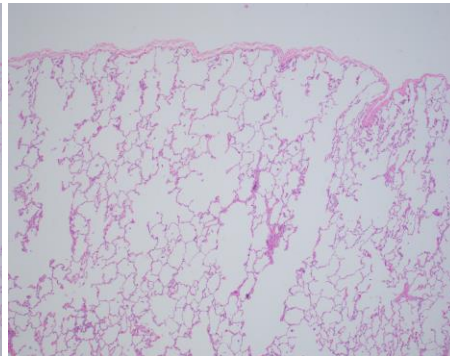
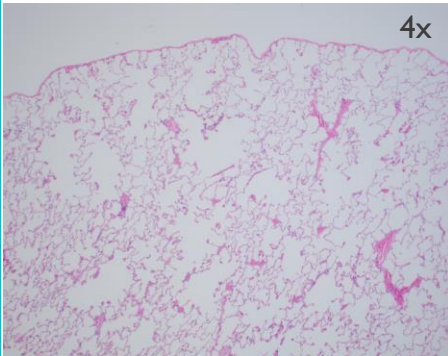
No Inflammation
(Trachea)

40x



Minimal Infiltrate
(Alveoli)

4x



- 4D-A101 delivery well-tolerated
- No abnormal hematology or clinical chemistry findings
- No test-article-related adverse histopathology in lungs

Conclusions

- 4D-A101 represents first use of directed evolution in NHP to identify a vector engineered for lung tropism.
- 4D-A101 capsid is significantly more resistant to neutralization by anti-AAV antibodies *in vitro*.
- Delivery by aerosolization results in robust and widespread transduction and transgene expression throughout NHP lung.
- Localized delivery to lung results in minimal systemic exposure.
- Novel 4D-A101 vector represents advantage over existing AAV serotypes for lung gene therapy.

Acknowledgments

- 4DMT Process & Analytical Development
- 4DMT Project Management
- Laurie Tatalick, D.V.M., Ph.D. (Histopathology)